

PATENT  
(Practitioner's Docket No. IN- 5513)

5A  
3/22/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Peter BETZ  
Wilfried STÜBBE  
Horst HINTZE-BRÜNING  
Heinz-Peter RINK

Serial No.: This application is a National  
Phase of Patent Application PCT/EP00/01932  
filed 06. March 2000.

Filed: August 28, 2001

For: SOL-GEL COATING FOR  
SINGLE-LAYER OR MULTI-LAYER  
VARNISHES

Group Art Unit: Not Assigned

Examiner: Not Assigned

I hereby certify that the attached correspondence is  
being deposited with the United States Postal Service in an  
envelope as "Express Mail Post Office to Addressee" Mailing  
Label No. **ET115236178US** addressed to the BOX PATENT  
APPLICATION, Commissioner for Patents, Washington,  
D.C. 20231 on **09/05/2001**.

  
Marjorie Ellis

**PRELIMINARY AMENDMENT UNDER 37 CFR § 1.115**

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

This preliminary amendment is submitted with the application for entry into the U.S.  
National Phase under Chapter II. This application is based on PCT/EP00/01932 filed on 6  
March 2000.

In connection with the filing of this National Phase application, please make the  
following preliminary amendments.

**IN THE CLAIMS:**

Please substitute claims 1-18 as follows. As required by 37 C.F.R. §1.121, marked  
copies of the claims showing amendments for claims 1-18 are listed below.